

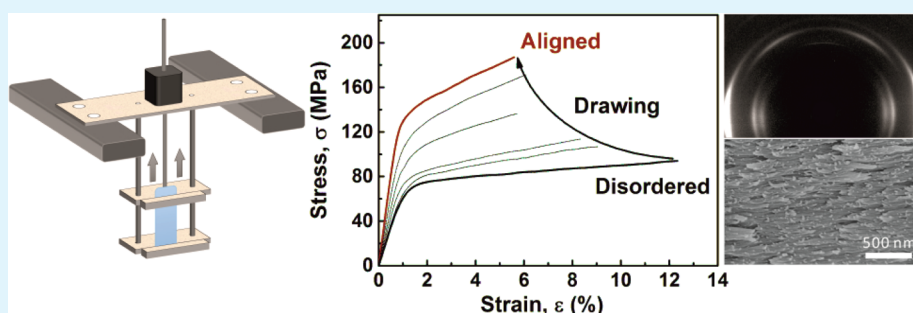
# Aligned Bioinspired Cellulose Nanocrystal-Based Nanocomposites with Synergetic Mechanical Properties and Improved Hygromechanical Performance

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## Supporting Information



**ABSTRACT:** Natural high-performance materials inspire the pursuit of ordered hard/soft nanocomposite structures at high fractions of reinforcements and with balanced supramolecular interactions. Such biomimetic design principles remain difficult to realize for bulk nanocomposites. Herein, we establish an effective drawing procedure that induces a high orientation of crystalline cellulose nanocrystals (CNCs) in a matrix of carboxymethylcellulose (CMC) at high level of reinforcements (50 vol %). We show such alignment in rather thick bulk films and report synergetic improvement with a simultaneous increase of stiffness, strength, and work-to-fracture as a function of the degree of alignment. Scanning electron microscopy and two-dimensional X-ray diffraction quantify the alignment of the cylindrical nanoparticles and link it to the extent of drawing and improvements in mechanical properties. We further show that the decline in mechanical properties of such waterborne all biobased nanocomposites at high relative humidity can be balanced using supramolecular modulation of the ionic interactions by exchanging the monovalent  $\text{Na}^+$  counterion, present in CMC and CNC with di- or trivalent  $\text{Cu}^{2+}$  and  $\text{Fe}^{3+}$ . This contribution demonstrates the importance of aligning one-dimensional reinforcements to achieve synergetic improvement in mechanical properties in sustainable bioinspired nanocomposites and suggests pathways to prepare water-stable materials based on a waterborne processing route.

**KEYWORDS:** nanocellulose, bioinspired materials, toughness, humidity, wet-drawing

## INTRODUCTION

The quest for sustainable materials engineering requires developing materials with competitive mechanical and functional performance based on renewable resources and using energy-efficient and benign preparation pathways. Cellulose-based materials are one of the prime candidates because cellulose is the most abundant biomaterial on our planet, rapidly growing, biocompatible, biodegradable, and of rather low-cost. Polymeric cellulose has been the basis for products in our daily lives, ranging from fibers to textiles, cellophane, and as texturizer in colloidal products and food. Recently, nanocellulose materials, which can be divided into cellulose nanocrystals (CNC) and cellulose nanofibrils (NFC), have moved into the focus of materials research due to their extraordinary mechanical properties.<sup>1–13</sup> CNCs are rod-like cylindrical particles that are easily prepared by acidic hydrolysis from a range of cellulose-containing feedstock. Most common

sources are cotton,<sup>14,15</sup> plant fibers,<sup>16–24</sup> treated pulps,<sup>25–27</sup> microcrystalline cellulose,<sup>28–30</sup> bacterial cellulose,<sup>31–33</sup> and tunicates.<sup>34–36</sup> They have high aspect ratios (3–20 nm in width and 50–500 nm in length for most plant-based CNCs) and a high degree of crystallinity. Their stiffness is near 143 GPa, and their tensile strength has been estimated to be in the order of 10 GPa.<sup>37,38</sup> Most importantly, and in contrast to what can be achieved by recrystallization, natural selection has allowed the growth of these cellulose nanocrystals with nanoscale dimensions that are optimized for high fracture toughness, among fulfilling other functional plant requirements.<sup>39</sup>

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Cellulose microfibrils, a primary source for cellulose nanomaterials, are the reinforcing components in biological tissues. In wood, the reinforcing cellulose microfibrils are embedded in the walls of the wood cells and aligned within an energy-dissipating soft matrix containing hemicellulose and lignin.<sup>40</sup> This nanocomposite material is optimized for high stiffness, strength, and high fracture toughness, while also certainly addressing other biological constraints. The soft layer between the microfibrils allows for deformation, despite the high level of reinforcements. Helical winding (cellulose microfibrillar angle) of the structures enhances mechanical strength (in particular for compression) and allows for hydromechanical actuation.<sup>41</sup> Such sophisticated biological architectures teach us design principles for bioinspired nanocomposite materials.<sup>42</sup> In bioinspired nanocomposite materials, ordered structures of stiff anisometric reinforcing particles are pursued within a tailored soft phase, at best containing molecularly designed energy dissipation mechanisms. Owing to the natural design principles, such ordered structures need to be pursued at high fractions of reinforcement, that is, at biomimetic compositions.

Inorganic nanoparticles have traditionally been used as reinforcements in polymeric materials to create nanocomposite materials.<sup>43</sup> Such nanocomposites have been optimized to comply with traditional processing techniques and typically contain fairly less than 10 wt % of reinforcing nanoparticles (e.g., nanoclay). Said nanocomposites typically exhibit an enhancement of stiffness and strength, but mostly sacrifice strain-to-failure. Synergistic increase of stiffness, strength and toughness remains difficult to achieve in such traditional approaches. In the framework of nanoclay-based nanocomposites, great progress could recently be demonstrated by applying biomimetic design principles inspired by the nacreous layer of sea shells (high fraction of reinforcements and high degree of order and alignment) to polymer/clay nanocomposite. Such nacre-mimetic nanocomposites have generated mechanical stiffness and strength being typically an order of magnitude higher than what could be achieved in traditional nanoclay/polymer nanocomposite materials.<sup>44–54</sup> This inspires to apply similar design principles to nanocellulose building blocks.

The mechanical properties of nanocellulose have already been translated into mechanically interesting materials. NFC has been used to create pure<sup>55–59</sup> and nanocomposite<sup>60–69</sup> nanopapers, as well as fibers<sup>70–72</sup> and foams<sup>73–75</sup> with attractive mechanical properties. Similarly, there exists significant literature on using CNCs in the framework of traditional nanocomposites with low fractions of reinforcement and often disordered structures.<sup>4</sup> CNCs are very difficult to align in a unidirectional fashion and high magnetic or electric fields<sup>76–82</sup> were suggested. Interestingly enough, unidirectional alignment at high fractions of wood-based CNCs requires rotating magnetic fields to unwind the helical structure of the otherwise occurring cholesteric mesophase.<sup>78</sup> Ultrathin oriented films can be prepared by shear alignment and convective forces using CNC suspensions,<sup>83–86</sup> also in combination with electric fields,<sup>87</sup> while specialized cylindrical rotational shearing units with in situ drying may allow shear-orientation in thicker films.<sup>88</sup> Other approaches have shown that the helical cholesteric structure formed by self-assembly of CNCs can be stabilized by cross-linking with resins during/after film formation from molecular precursors<sup>89–95</sup> or via cocasting with nonionic polymers in organic solvent.<sup>96</sup> Toward unidirec-

tionally aligned larger-scale CNC-based materials, fiber spinning of cellulose diacetate,<sup>97</sup> alginate,<sup>98,99</sup> poly(vinyl alcohol)<sup>100,101</sup> with CNCs allowed to make ordered fibers with CNC loading up to 10–50 wt %. In general, preferential alignment and order is not only important for mechanical reinforcement but also plays a decisive role in imparting anisotropic optical and thermal properties that could be tuned according to a targeted application.

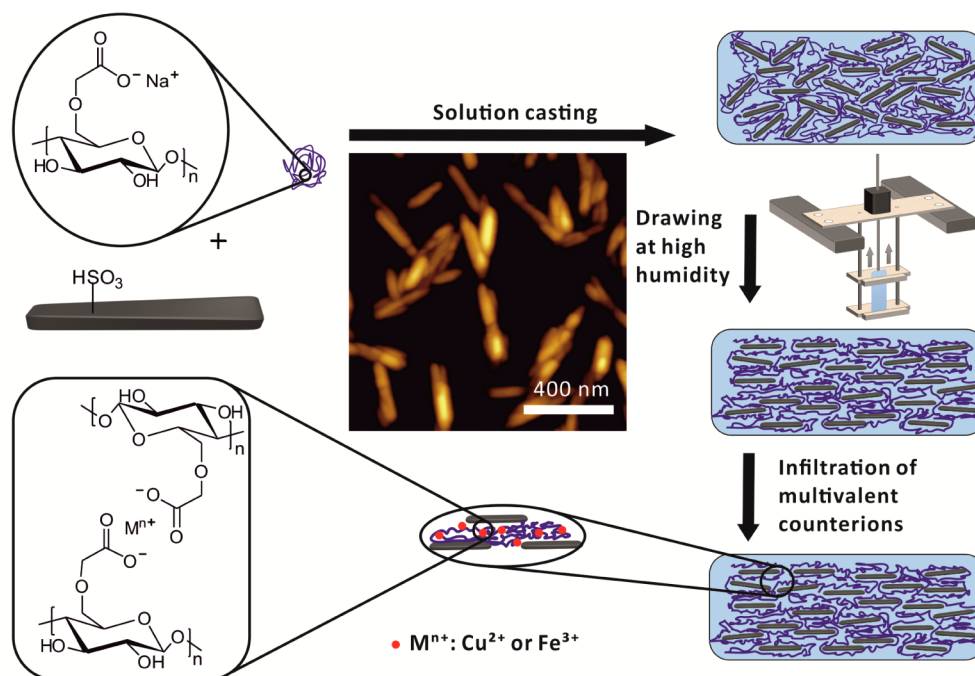
One of the major bottlenecks of nanocellulose particles is their highly hydrophilic nature. On the one hand this leads to difficulties in combining them with hydrophobic matrix polymers, and on the other hand this leads to nanocomposites that are inherently susceptible to humidity. For instance, we recently described in detail how humidity influences the mechanical performance of cellulose nanopapers across the full elastic and plastic regime.<sup>102</sup> First approaches to stabilize the mechanical properties of waterborne cellulose-based materials with defined chemical interactions have, for instance, been reported by combination with chemically modified polymers<sup>51</sup> or ionic interaction.<sup>45</sup> Moreover, if ordered materials are targeted, the highly polar and charged nature of the nanocellulose building blocks mostly requires to use water for colloid-based solution processing and assembly of nanocellulose in combination with water-soluble polymers. To this end, the combination with polysaccharides becomes important as this allows fully waterborne routes with all-biobased building blocks. The focus of this contribution will be on CNCs. Such CNCs were previously combined with water-soluble polysaccharides to prepare classical disordered nanocomposites at low fractions of reinforcements, also often only offering little insights into structure and detailed understanding and modulation of mechanical properties.<sup>4,12,103</sup>

Herein, we will combine CNCs with sodium carboxymethyl cellulose (CMC) to prepare solution-cast all biobased nanocomposite films up to very high levels of reinforcements. We will demonstrate that mechanical drawing of films under plasticized conditions containing 50 wt % of reinforcing materials can be used to achieve nematic-type, unidirectional alignment of the reinforcing CNCs in quasi bulk conditions. The resultant materials show synergetic improvement with a simultaneous increase of stiffness, strength and toughness. We also address better mechanical performance at high relative humidity by exploiting the carboxylic and sulfonic acid functionalities on the building blocks using supramolecular ionic cross-linking based on infiltration of multivalent counterions. The resulting materials are first examples of ordered (near bulk) thick films combining highly aligned, bioinspired, mesoscale structures with synergetic improvement and stability at high relative humidity.

## ■ EXPERIMENTAL SECTION

**Materials.** Whatman filter paper (grade 1, Aldrich). Sodium carboxymethyl cellulose (CMC,  $M_w = 90 \text{ kg}\cdot\text{mol}^{-1}$ , degree of substitution (DS) = 0.9, Aldrich). Milli-Q water was used for all experiments.

**Preparation of Cellulose Nanocrystals (CNCs).** CNCs were isolated from Whatman filter paper via acid hydrolysis with 64%  $\text{H}_2\text{SO}_4$  at 70 °C for 30 min. The reaction was quenched by putting the reaction flask into an ice bath. The suspension was washed three times by centrifugation at 8000 rpm for 30 min. The resultant slurry was dialyzed against deionized water for several days to remove excess acid, low molecular weight carbohydrates, and other water-soluble impurities. The final pH was constant around 4–5. The CNC suspension was then ultrasonicated for 2 h.

Scheme 1. Preparation, Alignment and Supramolecular Modulation of Properties in CNC/CMC Nanocomposites<sup>a</sup>

<sup>a</sup>The center AFM image depicts the used rod-like CNCs (see Figure S1, Supporting Information for statistical evaluation).

**Preparation of CNC/CMC Nanocomposite Films.** The CNCs were diluted to a 0.5 wt % dispersion overnight and subsequently slowly added to a strongly stirred 0.25 wt % solution of CMC until the desired weight ratio was obtained. The mixed suspension was then subjected to ultrasonic bath for 20 min to reduce any aggregation that may have occurred during the mixing process. This dispersion was then poured into a plastic dish and air-dried for 3–4 days.

**Ionic Supramolecular Cross-Linking.** Infiltration of the nanocomposite film was done by placing the films (ca. 200 mg) into a 0.1 M copper sulfate or 0.05 M iron(III) sulfate solution (100 mL) for 3 days. Excess ions/salt were removed by washing in deionized water, leaving strongly colored films after drying.

**Drawing at High Relative Humidity (RH).** We built a wet/humidity-drawing device based on aluminum profiles and a computer-controlled linear actuator (Haydon Kerk stepper motor 21000 series size 8) able to perform strain-controlled drawing. CMC/CNC films with gauge lengths of 10 cm (width 1 cm, thickness 40–50  $\mu\text{m}$ ) were clamped in the device and put into a humidity chamber of 99% RH. After relaxation, the films were subjected to a periodic drawing routine. The draw velocity was set to 2 mm/min, and the drawing process was interrupted for 10 min every 10 mm of drawing to release excess stress. The specimens were left inside the drawing device for an additional 2 h, dried within the drawing clamps, and thereafter removed. During stretching, the major deformation occurs laterally, meaning the sample loses width rather than thickness.

**Mechanical Properties.** Tensile tests were carried out on a DEBEN minitester equipped with a 20 N load cell at room temperature. The samples were conditioned at the specified relative humidities (RH) for at least 24 h. The specimen sizes used were 1.5 cm  $\times$  1.25 mm  $\times$  40–50  $\mu\text{m}$  using a gap width of 1 cm. At least seven specimens were tested for each condition. A nominal strain rate of 0.5 mm $\cdot$ min<sup>-1</sup> was used (1 mm $\cdot$ min<sup>-1</sup> was also used). The slope of the linear region of the stress/strain curves was used to determine the Young's modulus ( $E$ ).

**Field-Emission Scanning Electron Microscopy (FE-SEM).** Film surfaces and fracture surfaces were observed by a Hitachi S4800 FE-SEM. The samples were sputter coated with a thin gold/palladium layer.

**Wide Angle X-ray Diffraction (WAXD).** WAXD experiments were carried out at the beamline BL14B1 at the Shanghai Synchrotron

Radiation Facility (SDRF) with a wavelength of 0.12398 nm. The sample cell was placed in a sample holder perpendicular to the X-ray beam. The distance between the detector (Mar 345) and the sample was 332.24 mm. LaB<sub>6</sub> was used for calibration. A typical acquisition time was 60 s. The patterns were corrected for air scattering and background. The azimuthal intensity distribution profiles along the arc that refers to the (200) reflections of the cellulose I $\beta$  crystals<sup>98</sup> were used to quantify the orientation of the CNC rods along the films. This peak is determined to be within  $2\theta = 22.0\text{--}22.4^\circ$ . Intensity distribution profiles in the azimuthal angle ( $\phi$ ) were used to calculate the orientation index ( $\pi$ ) and the order parameter ( $S$ ) according to the equations:

$$\pi = \frac{180^\circ - \text{fwhm}}{180^\circ}$$

$$S = \frac{3 \cos^2 \phi_{c,z} - 1}{2}$$

$$\cos^2 \phi_{c,z} = 1 - 2 \cos^2 \phi_{200,z}$$

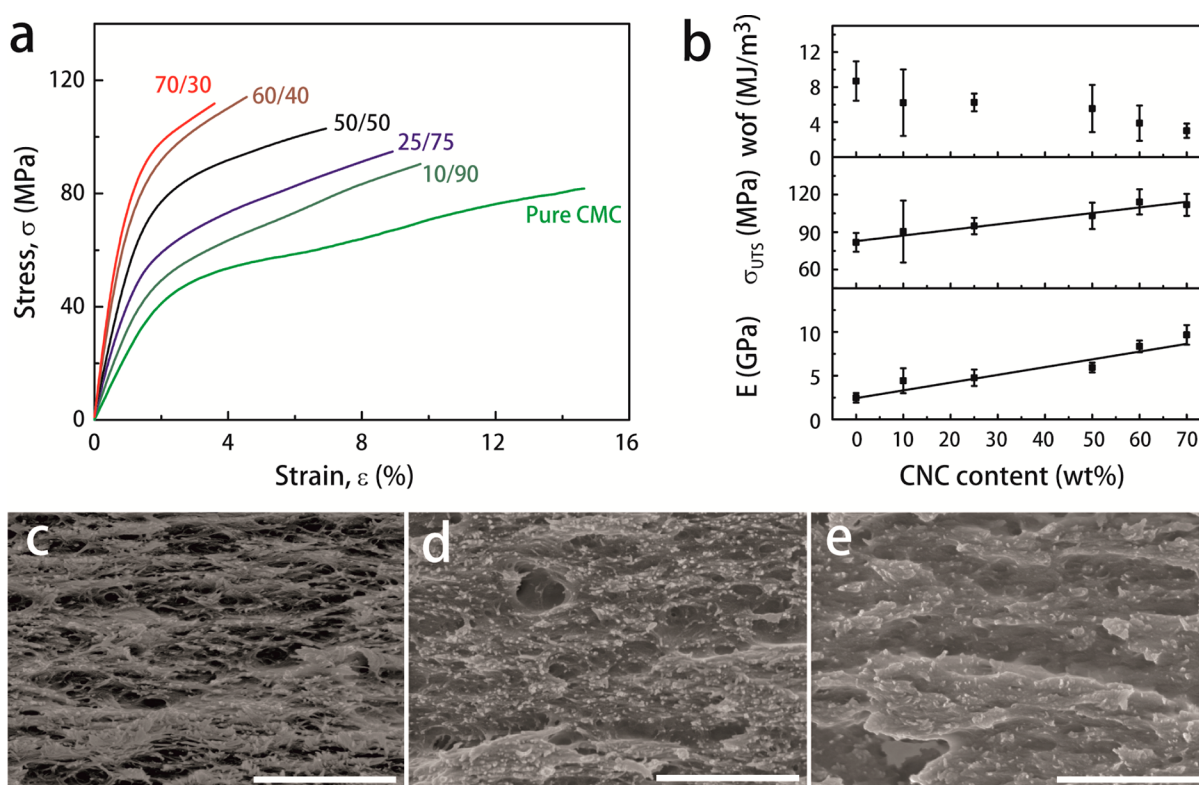
$$\cos^2 \phi_{200,z} = \frac{\sum_0^\pi I(\phi) \sin \phi \cos^2 \phi}{\sum_0^\pi I(\phi) \sin \phi}$$

where fwhm is the full width of the half-maximum of the azimuthal profiles from the selected equatorial reflection, and  $I(\phi)$  is the intensity distribution along the Debye–Scherrer ring.<sup>84,88,97,98</sup>

**Atomic Force Microscopy (AFM).** Atomic force micrographs of CNCs were taken using a NanoScope V (Digital Instruments Veeco Instruments, Santa Barbara, CA) AFM operating in tapping mode. The sample was obtained by dip-coating from a diluted suspension in water (0.002 wt %) onto freshly cleaved mica.

## RESULTS AND DISCUSSION

Our general strategy is sketched in Scheme 1. It starts with the nanocomposite preparation based on a waterborne film casting route of CNC in combination with NaCMC. This is followed by mechanical drawing under plasticized conditions at high



**Figure 1.** Tensile mechanical properties and mesostructure of CNC/CMC nanocomposites as a function of composition. (a) Stress/strain curves at various compositions as indicated within the figure and at 60% RH. (b) Overview of Young's modulus,  $E$ , tensile strength,  $\sigma_{UTS}$ , and work-of-fracture, wof, as a function of CNC content. The lines are a guide to the eye. SEM images of fractured cross sections of CNC/CMC = (c) 90/10, (d) 50/50, and (e) 10/90 w/w (scale bars are 1  $\mu$ m).

relative humidity to allow for an alignment of the nanoscale reinforcements. The aligned films with maximized properties are subsequently subjected to a simple infiltration of multivalent counterions to modulate the supramolecular interactions and target mechanical stability at high relative humidity.

The CNCs were isolated via the classical acidic hydrolysis route<sup>104</sup> from cotton filter paper and were characterized by AFM to give an average length of  $165 \pm 51$  nm, and a height of  $9 \pm 2$  nm (Supporting Information, Figure S1). Such pristine CNC dispersions are known to form cholesteric liquid crystals during film casting due to an interplay of the negatively charged groups and the twisted ribbon shape of the rod-like colloids.<sup>105</sup> The corresponding CNC films are inherently brittle due to the lack of interstitial polymers able to dissipate fracture energy. We choose anionic CMC as a suitable polymer for providing a soft phase between the CNCs. CMC is an attractive polysaccharide due to its good inherent mechanical properties and moreover due to the presence of carboxylic acid groups which can be used to manipulate interactions within the bulk phase and with the sulfonate-group containing CNCs by changing the valency of the counterions.<sup>44,45</sup>

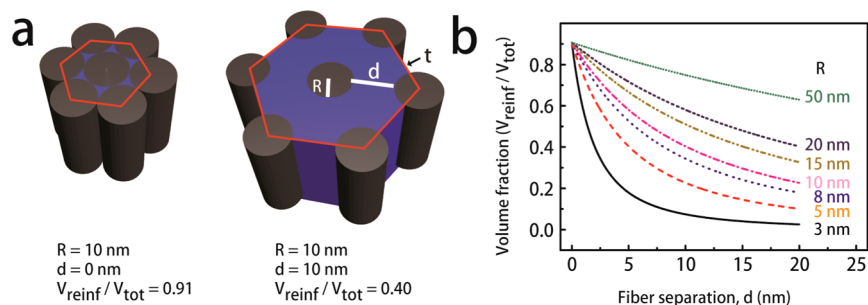
The ratios for the CNC/CMC nanocomposites were selected in a wide range (70/30, 60/40, 50/50, 40/60, 25/75, 10/90 w/w) to monitor the full behavior of the system and with a particular emphasis on high fractions of reinforcements. Due to similar densities of CNC and CMC ( $\text{Na}^+$  counterion;  $\rho_{\text{CNC}} = 1.56 \text{ g}\cdot\text{cm}^{-3}$ , and  $\rho_{\text{CMC}} = 1.60 \text{ g}\cdot\text{cm}^{-3}$ ), the volume ratios are closely identical with the weight ratios. Below, we will rationalize how lateral interparticle distance between CNCs scales with polymer content in a hexagonally closed packing scenario. The nanocomposites were simply prepared by slowly

dripping a CNC dispersion (0.5 wt %) to a CMC solution (0.25 wt %) until the desired composition is reached and subsequent evaporation. The addition of the nanoparticle dispersion to the polymer solution minimizes potential aggregation of nanoparticles. Macroscopically, all films are fully transparent and are thus different to pure CNC films, which show iridescence due to the Bragg reflections of the cholesteric nematic phase. Indeed, and also to our surprise, even films with 95 wt % of CNCs no longer show any cholesteric phase, possibly due to an interference of the anionic CMC with the self-assembly process of the anionic CNCs during evaporation. The differences can be traced by polarized optical microscopy (POM) and scanning electron microscopy. POM micrographs are black and do not show fingerprint patterns (characteristic of the cholesteric phase, not shown here), and SEM imaging of various nanocomposites displays the disordered structures (Figure 1c–e).

Next, let us turn to the mechanical behavior in tension and at 60% relative humidity (60% RH). Pure CMC displays ductile behavior with an attractive stiffness (Young's modulus) of  $E = 2.5$  GPa, tensile strength  $\sigma_{UTS} = 83$  MPa, and strain-at-break  $\epsilon_{\text{max}} = 15\%$ . Starting from pure CMC, it is obvious that continuous addition of CNC leads to a stiffening of the material up to a weight ratio of ca. 50 wt %, while the improvements begin to level off at 70 wt % CNC (Figure 1a). This behavior originates from an efficient stress transfer from the CMC matrix to the CNC reinforcements via multivalent hydrogen bonds. At 70 wt % the reinforcement efficiency declines indicating some inefficient activation of the large CNC surface area and potential CNC/CNC contacts. Tensile strength scales similarly and the best materials show a near doubling of the original

**Table 1. Mechanical Properties of CNC/CMC Nanocomposites Films as a Function of CNC Content<sup>a</sup>**

CNC content (wt %)	Young's modulus, $E$ (GPa)	tensile strength, $\sigma_{\text{UTS}}$ (MPa)	yield stress, $\sigma_y$ (MPa)	strain-at-break, $\epsilon$ (%)	work-of-fracture, wof ( $\text{MJ}\cdot\text{m}^{-3}$ )
0	$2.5 \pm 0.5$	$82 \pm 7.5$	43	$15 \pm 3$	$9 \pm 2$
10	$4.5 \pm 1.5$	$90 \pm 25$	41	$10 \pm 4$	$6 \pm 3$
25	$5.0 \pm 0.5$	$95 \pm 6.5$	51	$9.0 \pm 2$	$6 \pm 1$
50	$6.5 \pm 0.5$	$103 \pm 10$	67	$7.0 \pm 2$	$5 \pm 2$
60	$8.0 \pm 1.0$	$114 \pm 10$	68	$4.5 \pm 2$	$4 \pm 2$
70	$10 \pm 1.0$	$111 \pm 9$	72	$3.5 \pm 0.5$	$3 \pm 0.5$

<sup>a</sup>Test speed 1 mm/min.**Figure 2.** (a) Structural models rationalizing the geometrical constraints in unidirectionally oriented nanocomposites assuming (gray) infinitely long cylindrical reinforcements embedded in a hexagonal fashion within a (blue) polymeric matrix. (b) The corresponding calculation depicts the fiber distance as a function of the volume fraction of the reinforcements, for reinforcements of different radius as indicated within the figure.

tensile strength. After passing 50 wt % of CNC, a rapid decrease of the strain at break occurs, and the materials turn increasingly brittle due to a lack of sufficient polymer to allow for inelastic deformation, resulting in insufficient stress delocalization around crack tips and rather fast crack propagation. Additionally, potential CNC agglomerates may be a source of defects to initiate failure. The area under the curve, which can be used as an estimate for the needed work to fracture the materials (wof), stays near constant until 50 wt % CNC and then also drops to lower levels. All values are summarized in Table 1, and we find an interesting optimum performance at 50 wt % of CNC considering the need to balance the tensile strength and strain at break.

In the following, we will demonstrate how highly reinforced nanocomposite films can be aligned. From above, we identify the CNC/CMC 50/50 w/w film as the most suitable material due to optimum combination of stiffness, strength, and toughness. Before we turn to the alignment procedure, it is important to realize the structural dimensions of an ordered lattice, which can be obtained as a function of the composition and the diameter of the one-dimensional (1D) reinforcement when targeting highly ordered structures (Figure 2a). For simplicity, we have to assume an ideally ordered hexagonally packed nematic phase of infinitely long 1D reinforcements (here, CNCs elongated to infinity along their main axis). For the calculations, we consider an infinitely thin cross section of one hexagon. The area within the hexagon,  $A_{\text{total}}$ , can be calculated according to its side length,  $t$ .

$$A_{\text{total}} = \frac{3\sqrt{3}}{2}t^2 = \frac{3\sqrt{3}}{2}(d + 2R)^2$$

with  $t = d + 2R$ , where  $d$  is the distance between 1D reinforcements, and  $R$  is the radius of a reinforcement.

At the same time, one hexagon contains three full cross sections of the reinforcements,  $A_{\text{reinf}}$  yielding

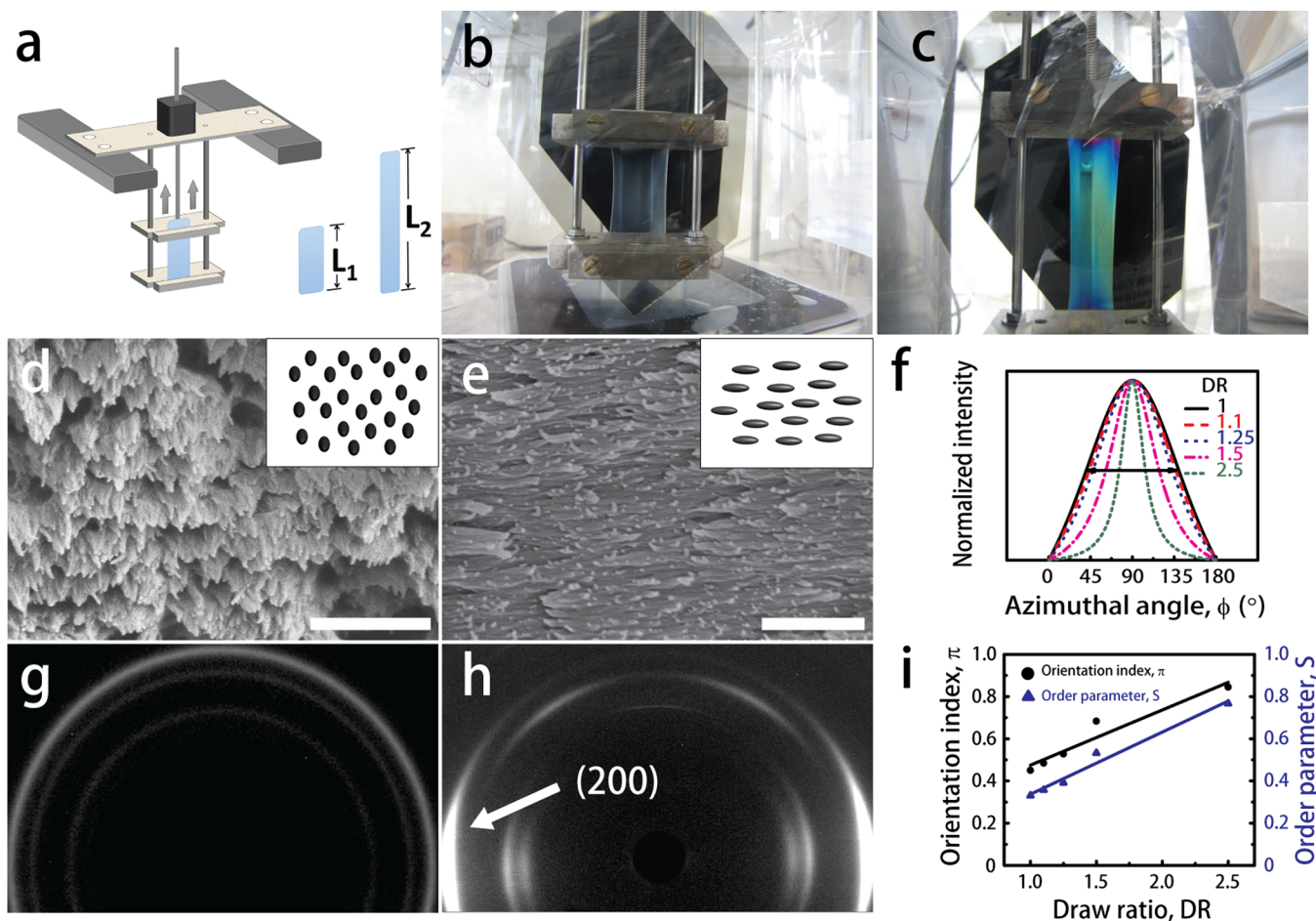
$$A_{\text{reinf}} = 3 \cdot \pi R^2$$

This enables us to calculate their ratio (also in volumetric terms) as a function of interfibrillar distance between the reinforcements for any give radius as follows:

$$\frac{V_{\text{reinf}}}{V_{\text{total}}} = \frac{A_{\text{reinf}}}{A_{\text{total}}} = \frac{2 \cdot \pi R^2}{\sqrt{3} \cdot (d + 2R)^2}$$

The plots in Figure 2b in fact demonstrate the necessity of a certain minimum fraction of polymer (>9 vol %) to prevent direct contact of the reinforcements. The influence of the diameter of the reinforcements depicted in Figure 2b further demonstrates that well-defined matrix/1D reinforcement bioinspired nanocomposites require a minimum amount of polymer to activate the entire reinforcement interface. Furthermore, the thickness of the interstitial matrix,  $d$ , between the reinforcements depends very strongly on the diameter of the reinforcements. These are important rationalizations for general attempts to surround 1D cellulose nanomaterials completely with a matrix material and the aim to change their interfibrillar interactions in biomimetic nanocomposite settings. Considering our materials, this rationalization shows that the separation distance between two CNCs in an assumed hexagonal lattice is already smaller than their diameter at the determined optimum ratio of CNC/CMC = 50/50 w/w. Considering the densities of the materials ( $\rho_{\text{CNC}} = 1.56 \text{ g}\cdot\text{cm}^{-3}$ , and  $\rho_{\text{CMC}} = 1.60 \text{ g}\cdot\text{cm}^{-3}$ ) and assuming the rod-like CNCs have circular cross sections with a radius of ca. 4.5 nm (deduced from the average height of 9 nm from the statistical analysis of the AFM images), we can calculate the thickness of the interstitial matrix to be  $d = 3.1 \text{ nm}$ . This value is considerably smaller than the radius of gyration of the used CMC of  $90 \text{ kg}\cdot\text{mol}^{-1}$  and shows that the material is largely defined through interfaces and that the polymer layers exhibit nanoconfinement. Both aspects are fundamental features of biomimetic nanocomposites and add challenges to understanding the mechanical behavior.

Our approach toward alignment uses a custom-built strain-rate controlled drawing device, which can be submerged in



**Figure 3.** Alignment procedure and structural characterization for drawing of CNC/CMC films of 50/50 w/w. (a) Experimental setup and drawing ratio, DR, as defined by  $L_2/L_1$ . Photographs of (b) unstretched and (c) stretched film between crossed polarizers, demonstrating efficient alignment due to the occurrence of birefringence. SEM images of a fractured cross section of a drawn and strongly aligned film, DR = 2.5, acquired (d) perpendicular to and (e) within the drawing direction (scale bars are 500 nm). (g–i) 2D WAXD data to characterize the extent of alignment. (g and h) One half of the 2D detector images for films with (g) DR = 1 and (h) DR = 2.5. (f) Azimuthal intensity profiles with narrowing fwhm for increasing DR as indicated within the figure. (i) Orientation index,  $\pi$ , and order parameter,  $S$ , as a function of DR, establishing a direct link between drawing and orientation of the reinforcements.

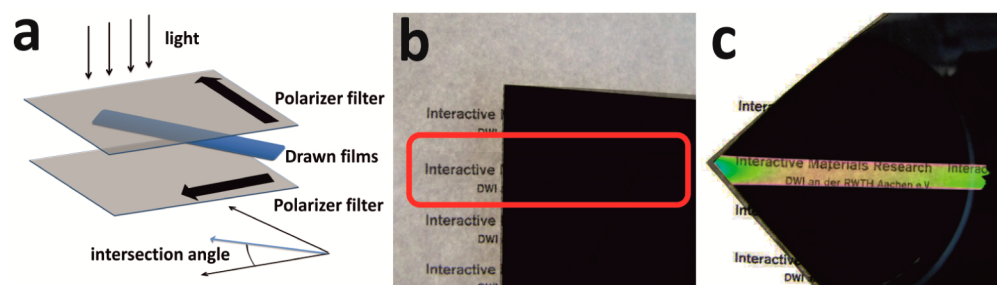
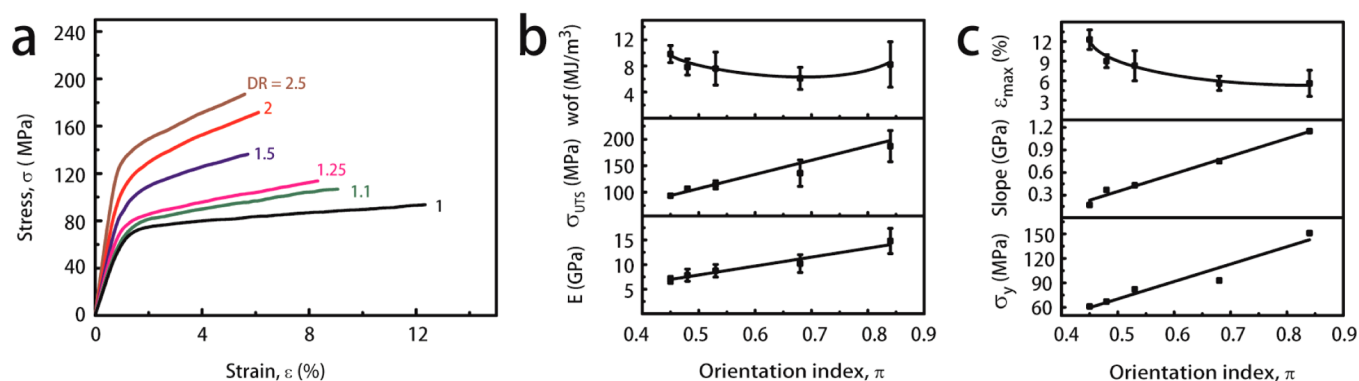
water or conditioned at a certain level of relative humidity to allow flow and realignment even in delicate and highly reinforced nanocomposites (Figure 3a). We chose to perform this drawing in a strongly humidified environment (99% RH) to maximize the dynamics inside the materials and allow for efficient plastic deformation. The routine works as follows: Initially, a nanocomposite film with a length  $L_0$  of about 10 cm (width, 1 cm; thickness 40–50  $\mu\text{m}$ ) is fixed to the clamps and allowed to equilibrate with the relative humidity inside the chamber for 1 h. Afterward, it is drawn without force into a fully straight position  $L_1$ . Then, the sample is drawn up to the desired length  $L_2$ , which defines the drawing ratio,  $\text{DR} = L_2/L_1$ . The draw velocity is set to 2 mm/min, and the drawing process is interrupted for 10 min every 10 mm of drawing to release excess stress. Overall, this gives sufficient time for efficient nanoparticle and polymer matrix rearrangement until the desired DR is reached. Once the drawing process is finished, the nanocomposites film is removed from the humidity chamber and allowed to “dry” while still being clamped inside the device. This procedure allows a drawing up to 150%,  $\text{DR} = 2.5$ . Higher drawing ratios turned out to be unreliable and typically lead to fracture.

The ongoing alignment of the film can be traced in situ by placing it between crossed polarizers. The two photographs depicted in Figure 3b,c clearly show a continuously increasing alignment inside the film due to the appearance of birefringence.

The successful alignment can be further evidenced by SEM and quantified via wide-angle X-ray diffraction (WAXD). We performed SEM imaging of the films at different DR and report images for side-on and perpendicular cross sections of a highly drawn film (DR = 2.5; Figure 3d,e). These can be compared to a nonoriented film shown above (DR = 1; Figure 1d). The most striking difference between the original and the drawn films is the near complete alignment of the CNCs clearly visible after drawing, thereby confirming that the CNCs are indeed being oriented during the drawing procedure. To quantify the alignment procedure, we performed two-dimensional (2D) WAXD at a synchrotron source and monitored how azimuthal intensity profiles of selected crystal reflections change during drawing. The setup consists of irradiating the films perpendicular to an X-ray beam. Already from the two 2D detector images of nondrawn and drawn films (DR = 1 and 2.5; Figure 3g,h), it becomes obvious that the reflections are increasingly confined into arcs, thereby suggesting an improved

**Table 2. Mechanical Properties and Degree of Alignment for CNC/CMC (50/50 w/w) Nanocomposites Films As a Function of the Draw Ratio<sup>a</sup>**

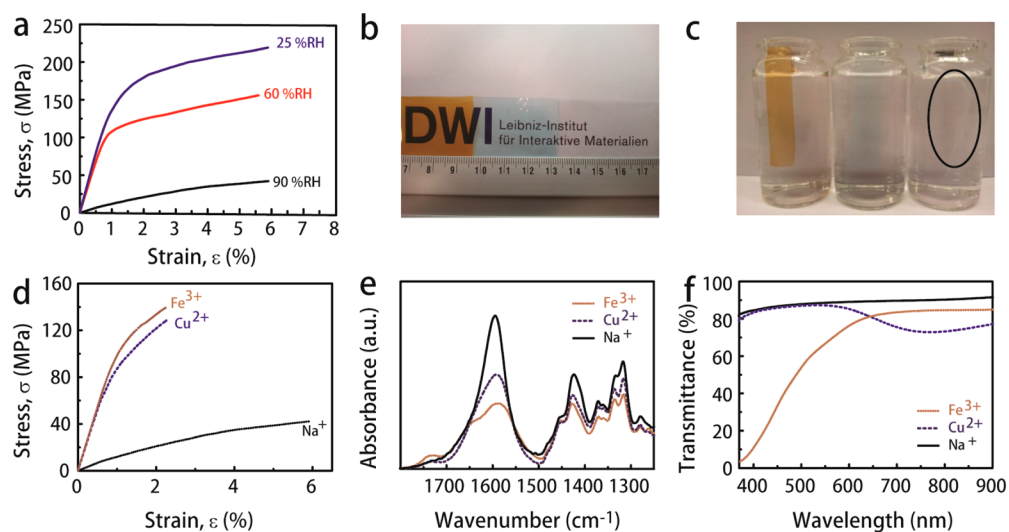
draw ratio, DR	orientation index, $\pi$	order parameter, $S$	Young's modulus, $E$ (GPa)	yield stress, $\sigma_y$ (MPa)	tensile strength, $\sigma_{UTS}$ (MPa)	strain at break, $\epsilon$ (%)	work-of-fracture, wof (MJ·m <sup>-3</sup> )
1.0	0.45	0.33	6.5 ± 0.5	61	93 ± 1	12 ± 1.5	9.8 ± 2.0
1.1	0.48	0.36	8.0 ± 1.0	67	107 ± 3	9.0 ± 1.0	7.8 ± 1.0
1.25	0.53	0.39	9.0 ± 1.0	82	114 ± 8	8.3 ± 2.3	7.7 ± 2.0
1.5	0.68	0.53	10 ± 2.0	93	137 ± 25	5.6 ± 1.1	6.0 ± 1.0
2.0	n.d.	n.d.	13 ± 4.0	117	171 ± 10	6.1 ± 3.4	8.0 ± 3.0
2.5	0.84	0.76	15 ± 2.5	151	187 ± 29	5.6 ± 2.0	8.0 ± 2.0

<sup>a</sup>Test speed 0.5 mm/min.**Figure 4.** Birefringence and polarization features of drawn CNC/CMC = 50/50 w/w nanocomposite films (DR = 2.5) observed between crossed polarizers. (a) Drawn film between the two crossed polarizers with a certain intersection angle. (b) When the intersection angle is 0°, the overlapping part is dark, meaning that the structure is aligned parallel to one of the filters. (c) When the intersection angle is 45° in the overlapping area, the drawn film shows strong birefringence, confirming a high degree of alignment.**Figure 5.** Mechanical properties of CNC/CMC 50/50 w/w nanocomposite films after progressive alignment. (a) Tensile tests at various DR as indicated within the plot (60% RH). (b) Overview of Young's modulus,  $E$ , tensile strength,  $\sigma_{UTS}$  and work-of-fracture, wof, as a function of the orientation index. (c) Overview of the yield stress,  $\sigma_y$ , slope in the plastic region and strain at break,  $\epsilon_{max}$ , as a function of the orientation index. The lines are a guide to the eye.

alignment of the crystallites along the drawing axis. We traced this orientation using the crystal (200) reflection arising from the CNCs. Figure 3f displays the azimuthal intensity profiles of the mentioned reflections at different drawing ratio. The more defined profiles with more narrow fwhm at higher DR clearly confirm increasing degrees of alignment. We calculate the degree of alignment using two methods: (1) the orientation index defined as  $\pi = (180^\circ - \text{fwhm})/180^\circ$  and (2) the order parameter defined as  $S = (3/2)\langle \cos^2\phi \rangle - (1/2)$  (further details in the Experimental Section). Both dependencies are graphically depicted in Figure 3i, and Table 2 summarizes the orientation index and the order parameter values for all undrawn and drawn nanocomposites films. Both parameters,  $\pi$  and  $S$ , range from 0 to 1, with unity corresponding to perfect alignment parallel to the drawing direction and zero corresponding to a random orientation of the crystals. Both methods show a consistent increase for a rising DR, due to the

gradual orientation of the films induced by the high humidity drawing procedure. Even the starting films already show a nonzero value, which arises from some preferential in-plane structure formation during the drying. The films with the highest drawing ratio display a very high degree of alignment, around 0.8. This can also be followed after alignment, when placed between crossed polarizers (Figure 4). When placed in parallel with one of the polarizers, the overall image remains dark, while strong birefringence occurs when turned to 45°. This confirms that the aligned films themselves could be used as polarizers.

A direct comparison of the mechanical properties for CNC/CMC nanocomposites as a function of the draw ratio is displayed in Figure 5 and all values are summarized in Table 2. The stress–strain curves of the CNC/CMC films at different DR show a very interesting behavior. Because we found a dependence of the slope of the strain hardening on the DR (see



**Figure 6.** Improved mechanical stability at high relative humidity by modulating supramolecular ionic interactions through counterion exchange within highly aligned CNC/CMC 50/50 w/w nanocomposites at a DR = 2.5. (a) Humidity-dependence of stress/strain curves in tension. Photographs after (b) counterion exchange of (left to right)  $\text{Fe}^{3+}$ ,  $\text{Cu}^{2+}$ , and  $\text{Na}^+$  and (c) swelling experiments of films displaying stability for the  $\text{Cu}^{2+}$  and  $\text{Fe}^{3+}$  infiltrated films while dissolution occurs for the pristine  $\text{Na}^+$  film during the course of hours. (d) Influence of counterion exchange on stress/strain curves in tension at 90% RH. (e) FTIR spectra of the nanocomposites after counterion exchange. (f) VIS spectra of the nanocomposite with various counterions.

below), we conducted the tensile tests at lower strain rate (50% of above) to potentially allow a wider spreading of the slope values. Focusing first on the interpretation of the most obvious differences, one can observe a clear increase in stiffness, yield strength and tensile strength for increasing draw ratios. The tensile strength reaches close to 175 MPa and the Young's modulus reaches 15 GPa, thus showing values that are twice as high as the undrawn film. The increase in stiffness and strength can be understood considering that the CNCs are now more unidirectionally oriented inside the composite and display a more efficient reinforcement. More importantly, however, the drawing procedure does not sacrifice much toughness of the overall materials, as the failure is governed by matrix/interface yielding as favored by the low aspect ratio reinforcements (length/height  $\approx 18$ ) and weak interfacial interactions (hydrogen bonds). In contrast to classical nanocomposites, in which higher stiffness and strength are typically obtained at the expense of toughness or strain-at-break, we herein find that higher stiffness and strength can be obtained by increased alignment and without sacrificing much overall work-to-fracture. The films lose some maximum elongation at the early stages of alignment, but then stabilize at similar strain-to-break after reaching drawing ratios of 1.25–1.5. Thereafter the overall tensile curves just show simultaneous increase in all relevant material properties. This behavior leads to a minimum in the work-of-fracture at intermediate drawing ratios and a subsequent increase when progressing to very high degrees of alignment or DRs (Figure 5b). Such a synergetic increase in mechanical properties is highly sought after in bioinspired materials and we show that increasing alignment in highly reinforced nanocomposite materials is a valuable tool to achieve this goal.

For structural materials, it is desirable to have a high Young's modulus and a large elastic region (high yield point), simply because this allows to fully elastically recover larger stresses imparted on a material. Herein, we find that the yield points also consistently increase for higher degree of orientation, which, again, is a beneficial feature for aligned nanocomposites.

Furthermore, the alignment leads to distinct changes in the inelastic region, showing a consistent increase of the slope after the yield point with increasing draw ratio (Figure 4c). We suggest that the concurrent orientation of the polymer chains is the main responsible factor.

In summary, the alignment of the highly reinforced CNC/CMC 50/50 w/w nanocomposite toward a bioinspired, nematic type of material allows to synergistically improve the properties in a near bulk, thick film materials. This complements ordering effects pursued for CNC-based 1D fiber materials via spinning techniques, in which the polymer chains are typically drastically more aligned, and goes beyond what ordering techniques for ultrathin CNC films can provide in terms of scalability for mechanical high performance materials.<sup>83–87,97–101</sup>

In the last section, we will address the main drawbacks of waterborne high-performance bioinspired nanocomposites: their susceptibility to water uptake and moisture-induced decrease of mechanical properties. This degradation of properties is mainly due to the competition of water with the hydrogen bonds formed among the building blocks and an occurring plasticization. Sodium carboxymethyl cellulose ( $\text{Na}^+\text{CMC}$ ) freely dissolves in water depending on the degree of substitution, and thus, its nanocomposites are also affected by the humidity. This can be traced macroscopically by tensile tests at different relative humidities as conducted for the highly aligned nanocomposites with best properties at 50/50 w/w composition and a DR of 2.5. Figure 6a displays the corresponding stress–strain curves and reveals distinct changes at the different relative humidities. The major changes occur when passing from 60 to 90% RH, where a significant loss of stiffness and strength can be observed, while the maximum elongation stays near constant. Both values fall to roughly 5–10% at 90% RH as compared to the dry state (25% RH). The main reasons for a loss in mechanical strength are the loss of hydrogen bonding interactions, due to ingress of water molecules, and the occurring plasticization of the CMC and weakening of the interfacial linkage between CNCs and the



**Table 3. Influence of Counterion Exchange on the Mechanical Properties of CNC/CMC (50/50 w/w; DR = 2.5) at 90% Relative Humidity**

counterions	Young's modulus, $E$ (GPa)	tensile strength, $\sigma_{UTS}$ (MPa)	strain at break, $\epsilon$ (%)	work-of-fracture, wof ( $\text{MJ}\cdot\text{m}^{-3}$ )
$\text{Na}^+$	$1.3 \pm 0.4$	$42 \pm 12$	$6.0 \pm 1.5$	$1.5 \pm 0.1$
$\text{Cu}^{2+}$	$10 \pm 2.5$	$128 \pm 26$	$2.3 \pm 0.5$	$1.9 \pm 0.8$
$\text{Fe}^{3+}$	$11 \pm 2.0$	$140 \pm 19$	$2.3 \pm 0.7$	$2.1 \pm 1.0$

CMC matrix. The difference between the dry state (25% RH) and the standard testing conditions (60% RH) is only minor, suggesting that hydrogen bonds are still very effective at 60% RH.

$\text{Na}^+\text{CMC}$  is a weak polyelectrolyte and also the CNCs contain acid groups, and we conceived to change the internal cohesion and matrix/interface interactions by ionic supra-molecular bonds using multivalent ions. Previous work on ionic interactions showed that multivalent ions can increase the connectivity in a polymer matrix in nacre-mimetic nanocomposites and induce a stiffening.<sup>54,106</sup> We recently also suggested that it may be a route to stabilize nacre-mimetic nanocomposites at high humidity.<sup>45</sup>

Herein, we target a dedicated strengthening and stability at high relative humidity and focus on strong multivalent ions as complexing agents. In principle, this process allows much better molecular control than, for instance, heating cellulose based nanocomposites or attempting a more random type of chemical cross-linking. To this end, we immersed drawn CNC/CMC (50/50 w/w, DR = 2.5) into 0.1 M  $\text{CuSO}_4$  and 0.05 M  $\text{Fe}_2(\text{SO}_4)_3$  solution, respectively (details can be found in the Experimental Section). This goes along with a macroscopic hardening of the films inside the salt solutions and bluish ( $\text{Cu}^{2+}$ ) as well as yellowish ( $\text{Fe}^{3+}$ ) films are obtained after extensive washing (Figure 6c). The change in color can be characterized by UV-vis spectroscopy and confirms the successful infiltration with multivalent metal ions (Figure 6b,c,f). Elemental analysis via inductively coupled plasma-atomic emission spectroscopy (ICP-AES) allows to quantify the changes in counterion composition, and we find that the sodium content is reduced from 3.26 wt % (pristine film) to 0.03 and 0.18 wt % for the  $\text{Fe}^{3+}$ - and  $\text{Cu}^{2+}$ -infiltrated materials. At the same time,  $\text{Fe}^{3+}$  and  $\text{Cu}^{2+}$  can be detected in the respective infiltrated films with 3.60 and 4.80 wt %, while cross-contamination are near or below the detection limit. This corresponds to molar ratios of Na/Cu/Fe of 1:0.53:0.45, which closely reflects the expected changes, albeit with a slight excess of the trivalent  $\text{Fe}^{3+}$  within the films.

We used Fourier transform infrared (FTIR) spectroscopy to monitor changes in structure upon counterion exchange. Figure 6e displays the FTIR spectra in the characteristic range of the carbonyl region. A comparison of  $\text{Na}^+\text{CNC/CMC}$ ,  $\text{Cu}^{2+}\text{CNC/CMC}$  and  $\text{Fe}^{3+}\text{CNC/CMC}$  shows little difference in the nature and position of the bands. For  $\text{Na}^+\text{CNC/CMC}$ , a broad band corresponding to asymmetrical stretching mode ( $\nu_{\text{asym}}$ ) and a band corresponding to symmetrical stretching mode ( $\nu_{\text{sym}}$ ) for  $\text{COO}^-$  appear at 1594 and 1423  $\text{cm}^{-1}$ , respectively. After complexation with  $\text{Fe}^{3+}$  and  $\text{Cu}^{2+}$  ions, the asymmetric stretching band broadens slightly while the peak maximum stays near constant (1589 and 1591  $\text{cm}^{-1}$ ). The symmetrical stretching mode ( $\nu_{\text{asym}}$ ) for  $\text{COO}^-$  shifts to 1427  $\text{cm}^{-1}$  and a shoulder is visible at 1450  $\text{cm}^{-1}$ . In general, bivalent and trivalent transition metals ( $\text{Cu}^{2+}/\text{Fe}^{3+}$ ) have more coordination types than  $\text{Na}^+$  such as (1) chelating bidentate or (2) bridging bidentate complexes.<sup>107–110</sup> Interestingly, separate peaks can

even be identified in poly(acrylic acid) (PAA)/ $\text{M}^{n+}$  model compounds, for example, in PAA/ $\text{Cu}(\text{II})$  at  $\nu_{\text{asym}}(\text{COO}^-) = 1558 \text{ cm}^{-1}$  for chelating bidentate and  $\nu_{\text{asym}}(\text{COO}^-) = 1611 \text{ cm}^{-1}$  for bridging bidentate.<sup>107,108,110</sup> Herein, the  $\nu_{\text{asym}}(\text{COO}^-)$  band is so broad that it covers the full region of 1558–1611  $\text{cm}^{-1}$ . This indicates that multiple coordination geometries coexist in the material. In principle, for cellulose based polymers, a third type of complexation with the vicinal diols of the sugars might appear for a low degree of substitution and low charge density. Additionally, the CMC chains are also likely to be linked to the CNC surface via complexation to sulfonic acid groups, which leads to further peak broadening. We assured interactions of the multivalent ions with the CNCs by addition of small amounts of  $\text{Fe}^{3+}$  and  $\text{Cu}^{2+}$  (2 mmol) to CNC dispersion, whereupon turbidity/light scattering increases due to ion-induced CNC aggregation (Figure S2, Supporting Information). Importantly, we see that very little conversion of  $\text{Na}^+\text{CMC}$  to its acid form takes place during ion exchange. Only a small shoulder is observed at ca. 1733  $\text{cm}^{-1}$ , which is shifted compared to pure acidified CMC (1726  $\text{cm}^{-1}$ ). Overall, these data confirm that indeed the ionic groups are the major structural supramolecular links in the CMC matrix and to the CNC surfaces.

The exchange of the monovalent  $\text{Na}^+$  counterion to the bi- and trivalent  $\text{Cu}^{2+}$  and  $\text{Fe}^{3+}$  counterions has a pronounced influence on the mechanical properties of the films under hydrated conditions. We focus on the properties at high relative humidity (90% RH) and find a substantial stiffening and strengthening of the films as visible in the tensile testing curves depicted in Figure 6d and summarized in Table 3. We find little traceable differences between the valencies of the counterions, although one could have expected a more pronounced influence as they serve as ionic cross-links with different valency and electrostatic potential. This behavior may stem from after all rather similar complex geometries within the bulk phase. The stiffness of the films increase one order of magnitude from ca. 1.3 GPa in  $\text{Na}^+\text{CNC/CMC}$  to 10 and 11 GPa for  $\text{Cu}^{2+}\text{CNC/CMC}$  or  $\text{Fe}^{3+}\text{CNC/CMC}$ , respectively. At the same time, the tensile strength triples to just above 125 MPa. Although a loss of strain-at-break is observed, the substantially increased stiffness and strength can balance the overall properties and lead to ca. 25% increase in the wof. Considering the highly hydrated state of these materials at 90% RH, we find an attractive mechanical performance for such waterborne high performance materials.

## CONCLUSION

We demonstrated a simple and straightforward experimental approach to achieve unidirectional mesoscale alignment of cellulose nanocrystals within polymer matrixes by drawing under plasticized conditions. To the best of our knowledge, these are some of the first thick and highly aligned all-cellulose-type nanocomposites using cellulose nanocrystals. The nematic type unidirectional ordering is reminiscent of the structures found in biological materials and can even be achieved at a high

level of reinforcements (here, 50 wt %). Our structural model also explains that a minimum of polymer content has to be present to prevent direct contacts between reinforcements in a space filling hexagonal packing of infinite cylinders of a given diameter.

The importance of the alignment manifests in displaying synergetic increases of stiffness, strength, and toughness at the same composition, simply by increasing the level of alignment of the nanoscale reinforcements. In a more applied context, it means that possibilities for alignment of CNCs within matrixes have to be found that are compatible with larger scale processing (e.g., calendaring of thick films).

We could further show that the decline in mechanical properties of such waterborne all-biobased nanocomposites can be prevented using supramolecular modulation of the ionic interactions inside the CMC matrix, as well as between CNC and CMC, by exchanging the monovalent Na<sup>+</sup> with di- or trivalent Cu<sup>2+</sup> and Fe<sup>3+</sup>. Similarly, this leads to a synergetic improvement of the mechanical properties, and an attractive overall performance with greater than 10 GPa in stiffness and 125 MPa in tensile strength can be realized at 90% relative humidity. Although the ion valency does not manifest in significant differences at this point, we suggest that using different ion combinations and different types of cations (such as substituted ammonium ions) will enable further manipulation of the interactions and targeting sacrificial bonds with stick/slip interactions.

## ■ ASSOCIATED CONTENT

### Supporting Information

AFM and ion-induced aggregation of CNCs. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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